

**System and Method for Multiple Users to
Securely Access Encrypted Data on a Computer System**

BACKGROUND OF THE INVENTION

1. Technical Field

5 The present invention relates in general to a system
and method for multiple users to securely access encrypted
data on a computer system. In particular, the present
invention relates to a system and a method for encrypting
non-volatile storage regions each with a different
10 encryption key and making available different subsets of
the encryption keys to different users.

2. Description of the Related Art

 Businesses store increasingly large amount of
sensitive, propriety data on computer systems that are
15 accessed and used by multiple users. As the number of
users accessing and using a computer system increases, it
becomes increasingly difficult to protect the data from
unauthorized access. If an unauthorized person obtains one
of the users' passwords, for example, the whole system is
20 compromised. Portable computer systems such as laptops are
especially vulnerable to unauthorized access since often
such systems are used away from a company's site.

 Encryption is one of the methods being used to protect
data stored on computer systems. Several software and
25 hardware solutions exist than can encrypt part or all of
the data on a hard disk, for example. In systems where
software full-disk encryption is being used, the encryption
software may be loaded either by the master boot record or

the BIOS and then control the flow of data in and out of the disk, decrypting data flowing out of the disk and encrypting data flowing into the disk. The data is typically encrypted using a symmetric key, which may itself be encrypted for additional security. For example, on a computer system having a trusted platform module (TPM), the symmetric key may be encrypted by the TPM using each user's public key from a private-public key pair. The private key is securely stored within the TPM.

After a user is successfully authenticated by the TPM, the user is given access to the symmetric key, which may then be used to decrypt the contents of the hard disk. In a multiple user environment, each authenticated user (and any unauthorized user who obtains a user's password) would have access to the same symmetric key and thus could potentially decrypt and gain access to all the data on the hard disk. The access would not be limited to that user's data and the common data.

What is needed, therefore, is a system and method that could restrict users from decrypting and accessing regions of the disk to which the users do not require access. For example, users do not need to have access to other users' user-specific data. The system and method should provide the users with the capability to only unlock portions of the disk to which the users need access. Any unauthorized access to the system by obtaining a user's password would then limit the unauthorized access to that user's accessible portions of the disk. The unauthorized person would not be able to gain access to the whole disk.

SUMMARY

5 It has been discovered that the aforementioned challenges can be addressed by a system and a method for encrypting different regions of non-volatile storage (such as a hard disk) using different encryption keys for each region. Each user may then be provided only with the encryption keys corresponding to the non-volatile storage regions to which a user requires (and should be granted) access.

10 A plurality of non-volatile storage regions is encrypted, each non-volatile storage region being encrypted with a different non-volatile storage region encryption key. The non-volatile storage regions may be, for example, different volumes such as partitions of a hard disk or
15 separate hard disks or different directories/folders. One of the non-volatile storage regions may store an operating system and data common to the registered users of the computer system, and the other non-volatile storage regions may store user-specific data of the registered users.

20 A first subset of the encryption keys is made available to a first user thereby granting to the first user access to a corresponding first subset of non-volatile storage regions. A second subset of the encryption keys is made available to a second user thereby granting the second
25 user access to a corresponding second subset of non-volatile storage regions. The first and second subsets of the encryption keys may consist of one, a plurality, or all of the encryption keys.

To protect each user's encryption keys, a first private-public encryption key pair and a second private-public encryption key pair are generated. The first private key is made available only to the first user and the second private key is made available only to the second user. The first subset of the encryption keys is then encrypted using the first public encryption key, and the second subset of the encryption keys is encrypted using the second public encryption key.

To protect access to the private keys, the first private key and the second private key are stored in a secure encryption module. Access to the first private key is protected with a first password known only to the first user, and access to the second private key is protected with a second password known only to the second user.

When a user attempts to access one or more of the non-volatile storage regions, the secure encryption module requests the user to enter a password. The user is authenticated if the user's password matches one of the passwords stored within the secure encryption module.

In response to authenticating the user, the secure encryption module decrypts a corresponding subset of encryption keys using the authenticated user's private key. Subsequently, using the decrypted subset of encryption keys, a corresponding subset of non-volatile storage regions is decrypted, thereby making the data in the non-volatile storage regions available to the authenticated user.

The foregoing is a summary and thus contains, by necessity, simplifications, generalizations, and omissions of detail; consequently, those skilled in the art will appreciate that the summary is illustrative only and is not intended to be in any way limiting. Other aspects, inventive features, and advantages of the present invention, as defined solely by the claims, will become apparent in the non-limiting detailed description set forth below.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention may be better understood, and its numerous objects, features, and advantages made apparent to those skilled in the art by referencing the accompanying drawings. The use of the same reference
5 symbols in different drawings indicates similar or identical items.

Figure 1 is a block diagram illustrating a computer system having one or more encrypted hard disk volumes;

10 **Figure 2** is a block diagram illustrating access to encrypted hard disk volumes by multiple users;

Figure 3 is a flowchart illustrating the overall method for defining/creating different non-volatile storage regions, encrypting each using different encryption keys,
15 and making available different subsets of the keys to different users;

Figure 4 is a flowchart illustrating a method for defining/creating and encrypting multiple non-volatile storage regions using different encryption keys;

20 **Figure 5** is a flowchart illustrating a method for making available different subsets of the encryption keys to different users;

Figure 6 is a flowchart illustrating a method for protecting the users' encryption keys using private-public
25 key pairs;

Figure 7 is a flowchart illustrating a method for authenticating a user attempting to log in to the computer system;

5 **Figure 8** is a flowchart illustrating a method for granting an authenticated user permission to decrypt and access a subset of the non-volatile storage regions; and

Figure 9 illustrates an information handling system that is a simplified example of a computer system capable of performing the operations described herein.

DETAILED DESCRIPTION

The following is intended to provide a detailed description of an example of the invention and should not be taken to be limiting of the invention itself. Rather,
5 any number of variations may fall within the scope of the invention defined in the claims following the description.

Figure 1 is a block diagram illustrating a computer system having one or more encrypted volumes. Computer system **110** includes CPU **115** for controlling the operation
10 of the computer system, RAM **120** for temporary storage during the operation of the computer system, hard disk **130** for more permanent data storage, and secure encryption module **125** for performing security and authentication related tasks.

15 In one embodiment, hard disk **130** is divided into a plurality of partitions giving rise to different volumes. The different volumes may also be created by using additional physical disks. In another embodiment, hard disk **130** may be divided into multiple directories/folders
20 for the purpose of separating the data. In one embodiment, hard disk **130** is divided into primary volume **135** and one or more user data volumes such as user data volumes **140**, **145**, and **150**. Primary volume **135** may hold, for example, the operating system and other data common to the users of the
25 computer system. The user data volumes may each hold data specific to each of the users of the computer system.

In one embodiment, each of the volumes of hard disk **130** may be encrypted using different encryption keys.

The encryption and decryption may be handled, for example, by full-disk encryption software. In one embodiment, the full-disk encryption software may be configured to load each time the computer system boots up. For example, the
5 full-disk encryption software may be loaded by the BIOS of the computer system. The full-disk encryption software encrypts and decrypts each of the volumes using the encryption key corresponding to the volume.

Secure encryption module **125** is configured to handle
10 security and authentication tasks for computer system **110** such as protecting sensitive data and authenticating users. Secure encryption module **125** may be configured, for example, to protect the volume encryption keys by generating private-public keys for each of the registered
15 users of computer system **110**. Secure Encryption Module **125** may then encrypt a user's volume encryption keys using the user's public key. The private key is securely stored within secure encryption module **125** and can be recovered only after user authentication. A user may be
20 authenticated, for example, with a password or by other means such as a fingerprints scanner or a retina scanner.

Figure 2 is a block diagram illustrating access to encrypted volumes by multiple users. In one embodiment, different volumes may be created by dividing hard disk **210**
25 into a plurality of partitions. The different volumes may also be created by using additional physical hard disks. In another embodiment, different storage regions may be created using multiple directories/folders.

In one embodiment, hard disk **130** is divided into primary volume **215** and one or more user data volumes such as user data volumes **220**, **225**, and **230**. Each one of the partitions is encrypted using a different encryption key. A subset of the encryption keys is then made available to each of the registered users of the computer system according to the access privileges of each user.

A typical user may be given access to the primary key and to one of the user data keys, thereby being granted access to the primary volume and to a volume containing that user's user-specific data. For example, user **235** may be given access to primary key **240** and user data key **245** thereby being granted access to primary volume **215** and user data volume **220**. User **250** may be given access to primary key **240** and user data key **260** thereby being granted access to primary volume **215** and user data volume **225**. User **265** may be given access to primary key **240** and user data key **275** thereby being granted access to primary volume **215** and user data volume **230**.

A user may be given access to any subset or all of the encryption keys. For example, an administrator such as super user **265** may be given access to all the encryption keys thereby being granted access to the primary volume as well as to all of the user data volumes.

Figure 3 is a flowchart illustrating the overall method for defining/creating different non-volatile storage regions, encrypting each using different encryption keys and making available different subsets of the keys to multiple users.

Processing begins at **300** whereupon, at step **310**, one or more non-volatile storage regions are defined or designated. The non-volatile storage regions are then encrypted using a different non-volatile storage region encryption key for each of the non-volatile storage regions. More details on the processing that takes place at step **310** are provided in the flowchart of **Figure 4**.

At step **315**, a subset of the non-volatile storage region encryption keys is made available to each of the registered computer system users according to each user's access privileges. More details on the processing that takes place at step **315** are provided in the flowchart of **Figure 5**.

At step **320**, pairs of private-public keys are generated for each of the registered users of the computer system. The key pairs are used to encrypt and protect the non-volatile storage region encryption keys to which each user has access. More details on the processing that takes place at step **320** are provided in the flowchart of **Figure 6**.

At step **325**, a user attempts to use the computer system, and upon successful authorization, the user is granted appropriate access, which includes access to non-volatile storage region encryption keys and corresponding non-volatile storage regions. More details on the processing that takes place at step **325** are provided in the flowchart of **Figure 7**.

Figure 4 is a flowchart illustrating a method for defining/creating and encrypting multiple partitions on a disk using different encryption keys. Processing begins at **400** whereupon, at step **410**, one or more non-volatile storage region partitions are defined or created. In one embodiment, the different non-volatile storage regions may be different partitions or different folders/directories on a hard disk. In another embodiment, the non-volatile storage regions may be volumes created by using multiple physical hard disks, for example.

At step **415**, the encryption software is set up to load during initialization of the computer system. In one embodiment, the encryption software is configured to be loaded by the BIOS, and after proper user authentication transparently, the encryption software encrypts/decrypts the contents of the non-volatile storage regions.

At step **425**, the first non-volatile storage region is selected, and at step **430**, appropriate data is loaded in the non-volatile storage region. For example, the first non-volatile storage region may be the primary partition of a disk configured to store the operating system of the computer system and any other data common to all the users of the system. The other partitions may be configured to each store a user's user-specific data, for example.

At step **432**, a non-volatile storage region encryption key is generated to be used in encrypting the contents of the selected non-volatile storage region. In one embodiment, the encryption software is configured to generate a symmetric non-volatile storage region encryption

key and perform the encryption/decryption of the contents of the non-volatile storage region. The encryption software may use well-known encryption algorithms. In one embodiment, different types and sizes of encryption keys may be used to encrypt the different non-volatile storage regions. At step **435**, the selected non-volatile storage region is encrypted using the generated non-volatile storage region encryption key. In one embodiment, only a subset of the non-volatile encryption regions may be encrypted; some of the regions may remain unencrypted.

A determination is then made as to whether more non-volatile storage regions are remaining requiring encryption, at decision **440**. If there are no more non-volatile storage regions remaining, decision **440** branches to "no" branch **450** whereupon processing ends at **499**. If there are more non-volatile storage regions remaining, decision **440** branches to "yes" branch **445** whereupon, at step **455**, the next non-volatile storage region is selected. Processing then returns to step **430** where the setup of the next non-volatile storage region begins.

Figure 5 is a flowchart illustrating a method for making available different subsets of the encryption keys to different users. Processing begins at **500** whereupon, at step **520**, the first enrolled/registered user is selected, and at step **525**, information is obtained about the selected user's access privileges. The information may contain, for example, a list of the non-volatile storage regions to which a user should be given access. A typical user, for example, may be given access to the main non-volatile storage region containing the operating system and other

common data, and in addition, the user may be given access to the non-volatile storage region containing that user's user-specific data. Another user, in addition to the typical user's access, may be given access to a non-volatile storage region containing data for a group to which a user belongs. A super-user, such as a system administrator, may be given access to all the non-volatile storage regions.

At step **530**, one or more non-volatile storage region encryption keys are made available to the user according to the user's access privileges. The user gains access to each key corresponding to each non-volatile storage region to which the user should be granted access.

A determination is then made as to whether more users are remaining to be enrolled/registered, at decision **535**. If no more users are remaining, decision **535** branches to "no" branch **545** whereupon processing ends at **599**.

If more users are remaining, decision **535** branches to "yes" branch **550** whereupon, at step **550**, the next user to be enrolled/registered is selected. Processing then returns to step **525** where the next user is granted access to a subset of the non-volatile storage region encryption keys.

Figure 6 is a flowchart illustrating a method for protecting the users' encryption keys using private-public key pairs. Processing begins at **600** whereupon, at step **610**, the first registered user is selected, and at step **620**, a private-public key pair is generated for the

user. In one embodiment, the key pair may be generated using a secure encryption module. The secure encryption module may be configured to generate the key pair and then securely store the private key. In one embodiment, the
5 secure encryption module may be configured to make available the private key after proper user authentication, which may be performed through a password or other means such as a retina scanner or a fingerprints scanner.

A determination is then made as to whether there are
10 more registered users requiring private-public key pairs generated in decision **625**. If there are more users requiring key pairs, decision **620** branches to "yes" branch **630** whereupon, at step **640**, the next registered user is selected. Processing then returns to step **620** where the
15 next user is set up.

If there are no more users remaining that require private-public key pairs, decision **625** branches to "no" branch **635** whereupon, at step **645**, the first registered user is selected. At step **655**, the selected user's non-
20 volatile storage region encryption key or keys are encrypted using the user's public key, in one embodiment, within the secure encryption module. The non-volatile storage region encryption keys can only be decrypted by the secure encryption module (where the private key is kept)
25 after a user is properly authenticated.

A determination is then made as to whether more registered users requiring non-volatile storage region encryption keys encrypted in decision **660**. If there are more users requiring non-volatile storage region encryption

keys encrypted, decision **660** branches to "yes" branch **655** whereupon, at step **675**, the next registered user is selected. Processing then returns to step **655** where the next user is set up. If there are no more users requiring
5 non-volatile storage region encryption keys encrypted, decision **660** branches to "no" branch **670** whereupon processing ends at **699**.

Figure 7 is a flowchart illustrating a method for authenticating a user attempting to log in to the computer system. Processing begins at **700** whereupon, at step **710**, booting of the computer system begins, and at step **715**, the BIOS first executes and then passes control to the secure encryption module. One of the functions of the secure encryption module is to authenticate a user attempting to
10 use the computer, and upon successful authentication, decrypt for the user the non-volatile storage region encryption keys with which the user may then decrypt non-volatile storage regions of the computer system.
15

At step **720**, the attempt counter is reset. The attempt character holds the number of times a user has attempted authentication in order to avoid dictionary-type attacks. At step **725**, the secure encryption module requests the user for a user ID and a password to perform the authentication. In other embodiments, other
20 authentication methods may be used such as fingerprints readers, retina scanners, etc.
25

A determination is then made as to whether the user entered the correct user id and password at decision **730**. If the user's user ID and password are correct, the user is

authenticated, and decision **730** branches to "yes" branch **735** whereupon, at step **770**, the user is granted access to the non-volatile storage regions corresponding to the user's non-volatile storage region encryption keys.

5 More details on the processing that takes place at step **770** are provided in the flowchart of **Figure 8**. Processing subsequently ends at **799**.

If the user's user ID or password is incorrect, decision **730** branches to "no" branch **740** whereupon, at
10 step **745**, the attempt counter is increased by one. A determination is then made as to whether the user has attempted to enter a user ID and a password less than three times during this session at decision **750**. If the number of attempts is still less than three, decision **750** branches
15 to "yes" branch **755** whereupon processing returns to step **725** where the user is asked to reenter a user ID and a password.

If the user has made more than three unsuccessful attempts to be authenticated, decision **750** branches to "no" branch **760** whereupon, at step **765**, the computer system is
20 locked for a certain period and an error to that effect is issued to the user. Processing subsequently ends at **799**.

Figure 8 is a flowchart illustrating a method for granting an authenticated user permission to decrypt and access a subset of the non-volatile storage regions of the
25 computer system. Processing begins at **800** whereupon, at step **810**, the encryption software is loaded. The encryption software is configured to encrypt/decrypt non-volatile storage regions corresponding to a user's

decrypted non-volatile storage region encryption keys. In one embodiment, the non-volatile storage regions may represent hard disk volumes, and the encryption software may be full-disk encryption software.

5 At step **815**, in response to a user being authenticated, the secure encryption module decrypts the user's non-volatile storage region encryption keys using the user's private key. The user's private key is stored within the secure encryption module to prevent unauthorized
10 access to the key.

 Using the non-volatile storage region encryption keys provided by the secure encryption module, at step **835**, the encryption software decrypts data from the non-volatile storage regions corresponding to the user's non-volatile
15 storage region encryption keys upon the user's requesting data from these regions. At first, for example, the encryption software may decrypt the operating system so that the operating system can be loaded to run the computer system. The user also is granted permission to access data
20 from other partitions, such as the partition containing the user's data.

 A determination is then made as to whether the user has requested to end the session at decision **840**. If the user has not requested to end the session, decision **840**
25 branches to "no" branch **850** whereupon processing returns to step **835** where the encryption waits for more user data requests.

If the user has requested to end the session, decision **840** branches to "yes" branch **845** whereupon, at step **855**, the encryption software encrypts data as data are saved back to the non-volatile storage regions during the shut-down process. At step **865**, the encryption software deletes any non-volatile storage region encryption keys to prevent unauthorized access to the data in the non-volatile storage regions after the end of the authorized user session. A user must be re-authenticated in order to access data from the non-volatile storage regions. Processing ends at **899**.

Figure 9 illustrates information handling system **901** which is a simplified example of a computer system capable of performing the computing operations described herein. Computer system **901** includes processor **900** which is coupled to host bus **902**. A level two (L2) cache memory **904** is also coupled to host bus **902**. Host-to-PCI bridge **906** is coupled to main memory **908**, includes cache memory and main memory control functions, and provides bus control to handle transfers among PCI bus **910**, processor **900**, L2 cache **904**, main memory **908**, and host bus **902**. Main memory **908** is coupled to Host-to-PCI bridge **906** as well as host bus **902**. Devices used solely by host processor(s) **900**, such as LAN card **930**, are coupled to PCI bus **910**. Service Processor Interface and ISA Access Pass-through **912** provide an interface between PCI bus **910** and PCI bus **914**. In this manner, PCI bus **914** is insulated from PCI bus **910**. Devices, such as flash memory **918**, are coupled to PCI bus **914**. In one implementation, flash memory **918** includes BIOS code that incorporates the necessary processor

executable code for a variety of low-level system functions and system boot functions.

PCI bus **914** provides an interface for a variety of devices that are shared by host processor(s) **900** and Service Processor **916** including, for example, flash memory **918**. PCI-to-ISA bridge **935** provides bus control to handle transfers between PCI bus **914** and ISA bus **940**, universal serial bus (USB) functionality **945**, power management functionality **955**, and can include other functional elements not shown, such as a real-time clock (RTC), DMA control, interrupt support, and system management bus support. Nonvolatile RAM **920** is attached to ISA Bus **940**. Service Processor **916** includes JTAG and I2C busses **922** for communication with processor(s) **900** during initialization steps. JTAG/I2C busses **922** are also coupled to L2 cache **904**, Host-to-PCI bridge **906**, and main memory **908** providing a communications path between the processor, the Service Processor, the L2 cache, the Host-to-PCI bridge, and the main memory. Service Processor **916** also has access to system power resources for powering down information handling device **901**.

Peripheral devices and input/output (I/O) devices can be attached to various interfaces (e.g., parallel interface **962**, serial interface **964**, keyboard interface **968**, and mouse interface **970** coupled to ISA bus **940**. Alternatively, many I/O devices can be accommodated by a super I/O controller (not shown) attached to ISA bus **940**.

In order to attach computer system **901** to another computer system to copy files over a network, LAN card **930** is coupled to PCI bus **910**. Similarly, to connect computer system **901** to an ISP to connect to the Internet using a
5 telephone line connection, modem **975** is connected to serial port **964** and PCI-to-ISA Bridge **935**.

While the computer system described in **Figure 9** is capable of executing the processes described herein, this computer system is simply one example of a computer system.
10 Those skilled in the art will appreciate that many other computer system designs are capable of performing the processes described herein.

One of the preferred implementations of the invention is an application, namely, a set of instructions (program code) in a code module which may, for example, be resident
15 in the random access memory of the computer. Until required by the computer, the set of instructions may be stored in another computer memory, for example, on a hard disk drive, or in removable storage such as an optical disk
20 (for eventual use in a CD ROM) or floppy disk (for eventual use in a floppy disk drive), or downloaded via the Internet or other computer network. Thus, the present invention may be implemented as a computer program product for use in a computer. In addition, although the various methods
25 described are conveniently implemented in a general purpose computer selectively activated or reconfigured by software, one of ordinary skill in the art would also recognize that such methods may be carried out in hardware, in firmware, or in more specialized apparatus constructed to perform the
30 required method steps.

While particular embodiments of the present invention have been shown and described, it will be obvious to those skilled in the art that, based upon the teachings herein, changes and modifications may be made without departing
5 from this invention and its broader aspects and, therefore, the appended claims are to encompass within their scope all such changes and modifications as are within the true spirit and scope of this invention. Furthermore, it is to be understood that the invention is solely defined by the
10 appended claims. It will be understood by those with skill in the art that if a specific number of an introduced claim element is intended, such intent will be explicitly recited in the claim, and in the absence of such recitation no such limitation is present. For a non-limiting example, as an
15 aid to understanding, the following appended claims contain usage of the introductory phrases "at least one" and "one or more" to introduce claim elements. However, the use of such phrases should not be construed to imply that the introduction of a claim element by the indefinite articles
20 "a" or "an" limits any particular claim containing such introduced claim element to inventions containing only one such element, even when the same claim includes the introductory phrases "one or more" or "at least one" and indefinite articles such as "a" or "an"; the same holds
25 true for the use in the claims of definite articles.